

Working Draft / Process for the oversight and implementation of Phase 3 assessments

Phase 3 Assessments will be undertaken by the Contractor:

1. The contract will set out the damage categories assessed to date and will specify that current impacts (i.e. the damage classification from the Phase 2) cannot be exceeded. If any assessment predicts worse impacts, then at source mitigation must be applied.
2. The contract will identify the buildings that will be subject to a Phase 3 Assessment and will require the contractor to verify any other new buildings that will require a Phase 3 assessment.
3. The contract will require the Phase 2B Assessment verification of the Phase 2A Assessment to be undertaken.
4. The contract will specify the Phase 3 Assessment requirements.
5. The contract will specify the expertise to be demonstrated in this field, and responsible key persons who will need to be named by the contractor in the contract.

Main works tender submissions will:

6. Specify the requirement for two (one traditional building construction and one modern building construction) Phase 3 Assessments to be undertaken (TII will provide all information necessary to complete such assessments at tender stage).
7. These assessments and expert persons (key persons named under the contract) will be evaluated by TII at tender stage and will be given a pass/fail weighting in the tender documents. The IME, will be required to have expertise in this field, and will undertake the evaluation of the tender submissions.
8. The Expert Advisory Panel will review the IME's tender evaluation and provide advice, as appropriate, arising from its review. This will provide a further level of governance and assurance.

In Contract:

9. The IME will review the Contractor's Phase 2B Assessment and direct whether any further additional buildings (above those identified by the contract) are required to progress to Phase 3. As noted above, the requirement to carry out any further Stage 3 assessments will be determined by the contractor and verified by the IME.
10. Phase 3 Assessments completed by the Contractor will be independently checked by the IME.
11. In carrying out its review, the IME may seek advice from the Expert Advisory Panel, as required, in respect of any element of the Phase 3 Assessments.
12. No excavation that has the potential to impact buildings will commence until the Phase 3 Assessment and the associated instrumentation and monitoring and mitigation proposals are approved by the IME.